

## From Lord Rayleigh to Noise Mapping - A personal historical look at the role of acoustics research and standardisation in the control of environmental noise.

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### Introduction- the lessons of history

*"History is  
more or  
less bunk"  
Henry  
Ford, 1916*

*"To  
understand  
a science it  
is  
necessary  
to know its  
history"*

*Auguste  
Comte,*

Of these two opposing views, I am a great believer in the latter.

In 1831, in an early example of a general review article on noise and its measurement, Dr G.W.C. Kaye, who was at that time in charge of the Physics Department at the National Physical Laboratory (NPL), wrote in the journal "Nature".

*"It was Lord Kelvin who said that once we find out how to measure a thing we begin to learn something about it. As regards noise, however, it is evident that the question of its measurement is one of some complexity, involving not only physics, but also physiology and psychology. Nevertheless it is clearly desirable that there should be a consensus of opinion on the choice of a system of physical quantities. They should be preferably of an absolute character, so as to assist, inter-alia: (a) in translating vague aural judgements and comparisons into facts and figures; (b) in elucidating the causes and characteristics of noises; (c) in comparing the results of different investigators; and (d) in setting up such arbitrary standards of noise as may be desired in the light of social technical or legal requirements."* [1].

Thus from the early days of serious scientific interest in noise, there was recognition of the vital importance to the process of noise control of standardisation - of measuring instruments, measurement methods, of noise criteria. In view of the theme of the 2002 Spring Conference, it seems appropriate to review some of the ways in which research and standardisation have contributed to an improved environment and quality of life. The review is primarily from a personal perspective of more than 30 years at the UK NPL, and a short time in my own consultancy business BEL, but recognises the vital involvement of many other organisations.

The main emphasis will be on specification standards, but reference will be made to key aspects of the work on metrological standards, which of course, through the realisation of the basic standard of sound pressure, underpins all acoustical measurements. The paper will not deal with the processes of standards development, comprehensively described by Robinson [2].

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## **2. Definitely before my time - from the origins of NPL to the Swinging 60's.**

### **2.1 Origins.**

Even before it officially existed, the embryonic National Physical Laboratory had strong links with the most famous acoustician of his time, Lord Rayleigh. A committee formed in 1895 to consider the establishment of a national laboratory, reported to the 1896 meeting of the British Association for the Advancement of Science in Liverpool. The third Baron Rayleigh, John W. Strutt, was one of the 14 members of this committee. The committee succeeded in convincing the Government of the day to set up an official "committee of enquiry" under the chairmanship of Lord Rayleigh. The committee's recommendations were accepted in October 1898, and financial aid was voted by Parliament - £4000 for 5 years as a grant in aid of the expenses of the Laboratory, and £12000 toward the erection of suitable buildings. An Executive Committee was formed in May 1899 with Lord Rayleigh as Chairman. The first Director, Dr (later Sir ) Richard Glazebrook was appointed from 1 January 1900. Lord Rayleigh continued as Chairman of the Executive Committee until his death in 1918, and is known to have taken an active interest in the work of the Laboratory, in particular as President of the Advisory Committee for Aeronautics, which was formed in 1909 [3,4].

From its inception to the present day, the Laboratory has published an Annual Report. In the early days these were handsome leather bound volumes, but have now become glossy paperbacks. Perusal of these early volumes provides many fascinating insights into various aspects of the functioning of the Laboratory. Thus it is interesting to note, in the report for 1908, the use of the term "Computer". Closer inspection reveals this to refer to the staff grade of a particular employee, a Mr W H Brookes, whose job was presumably to undertake mathematical computations for the whole of the Physics Department.

### **2.2 Early reflections - the 1920's.**

The first reference to work on acoustics comes in the Annual Report for 1921, when a Sound Division was set up in the Physics Department. In these early days the emphasis appears to have been on architectural acoustics, for example the use of ripple tanks to the study of waves in a model auditorium. See Figure 1.

In 1925 these techniques were used to investigate the possible use of hangars to screen "certain areas from the sound waves set up by the engines of stationary aeroplanes". It is etymologically interesting to note that the word "noise" was not used. International contacts were strong even then, with reference in the 1925 Annual Report to work on the acoustic properties of the Town Hall in Melbourne, Australia.

The first specific reference to "the study of noise" occurs in the report for 1928, but only by way of an example of the kind of scientific work which was suffering because of the lack of a dedicated laboratory building. For detail of early work on noise one has to wait until 1929 when mention is made of the first portable instrument for measuring the "mean sound pressure of sound in noises". Mention is also made of "aural measurements", which used the "Barkhausen" principle. This involved using a telephone earpiece in which the level of a reference noise could be adjusted in 14 steps to match that of the noise under investigation. See Figure 2. The scale of loudness was said to have been calibrated in absolute units by connecting the telephone earpiece, via an artificial ear canal, to a calibrated microphone. The first practical measurements involved the noise of aircraft, and were undertaken in close collaboration with the Royal Aircraft Establishment at Farnborough. 1929 also saw the formation of the first ever government committee on noise, a sub-committee of the Aeronautical Research Committee.

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### **2.3 The 1930's.**

A year after the very first mention of "noise", in the Annual Report for 1930, an extensive series of measurements was made on both interior and exterior noise of a range of aircraft types [5].

Work on a portable noise measuring instrument, first referred to in 1929, resulted, in 1934, in a forerunner of our modern sound level meters, shown here in Figure 3. One application was in an interesting series of tests conducted on the noisiness and "stridency" or harshness, of motor horns. See Figure 4. This is the first time that the issue of noise with strong tonal components appears to have been considered in the UK. The 1934 Annual Report refers to this instrument as an "acoustimeter", whilst the term "audiometer" is used for the instrument involving subjective comparisons of noises. The acoustimeter was arranged to give "equal meter indications for equally loud notes, whatever the pitch."

The equal loudness contours of Fletcher and Munson [7], formed the basis of this equalisation. The indicator was designed to give a full indication of loudness in about 1/3 of a second after the application of a steady noise, "so that it roughly simulates the ear in this respect". The meter was described by Davis who also gave an interesting and valuable review of related work of others in the field at the time [7].

One of the key applications of the acoustimeter was in the study of motor vehicle noise in connection with the deliberations of another early example of a Government committee. This was the Ministry of Transport's arcanelly named "Departmental Committee on Noise in the Operation of Mechanically Propelled Vehicles". Figure 5 shows some early measurements, on the NPL site, of the pass-by noise of a car.

The 1934 report also contains the first direct reference to the British Standards Institution (BSI) in the context of a recently proposed standard procedure for measuring the loudness of sounds. In essence this standardised the "equivalent loudness" as the intensity of an equally loud pure tone of 1000 Hz, with the zero loudness being 0.0002 dynes per cm<sup>2</sup>.

The importance of NPL work on noise is indicated by the publication in 1937 of one of the earliest reference texts on the subject, authored by A H Davis (8). Interestingly, this book contains what might be the very first ever "sound map", in an account of reports of the audibility, across a large area of southern England, of a very large explosion in an East London munitions factory - see Figure 6. The topic was also included in A B Wood's 1928 Christmas Lectures at the Royal institution.

In 1938 the acoustimeter, now known as the objective noise meter, having been the subject of further improvements in performance, particularly in dealing with impulsive noises, was described in considerable detail by Davis [9].

#### **2.4 The 1940's.**

The Second World War did not mean the cessation of work on acoustics at NPL. Among the topics listed in reports were - the problems of reproducing the noise of tanks on sound films, sound insulation of aircraft cabins and reduction of noise from aero-engine test cells. Advice was sought in 1944 in the preparation of plans for the new House of Commons, to replace that destroyed in 1941.

Soon after the War, work on noise measurement was greatly assisted by the arrival of a new mobile laboratory - the first of its kind in the UK. This was said to have travelled more than 4000

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miles in its first six months of operation, being employed in measurements of the noise from jet-engine test cells and investigations of noise reductions in new post-war factories.



The 1946 report records the hope that work would resume on further improvements to the objective noise meter. However this did not materialise and the NPL meter was eventually overtaken by developments elsewhere on sound level meters. Sadly the NPL Museum contains no remnants of this key work.

### **2.5 The 1950's.**

The 50's began with the start of 4 years of a major effort by Robinson and others on the re-determination of the equal loudness contours, extending the work of Fletcher and Munson in the USA and of Churcher and King in the UK [10]. The contours were first published fully in 1956 [11], and the Annual Report for 1957 notes provisional agreement by the recently formed Technical Committee 43 of ISO - itself only formed in 1953 - on the equal loudness contours and on the relation between the scales of loudness (sones) and loudness level (phons). The International Recommendation was published in 1961 [12], and the NPL work also strongly influenced the form of the weighting curves in the first ever international standards on sound level meters [13].

In response to growing demands for a standardised test of motor vehicle pass-by noise, initial subjective experiments, using real vehicles on a main road in the south of England were conducted by Robinson and others in 1959 [14]. These tests showed the superiority of the A-weighting. Soon after, more extensive tests with controlled pass-bys and a jury of listeners, established the necessary information on the relationship between subjective ratings and the meter readings [15]. The results of this NPL work were incorporated directly into ISO Recommendation R 362 [16].

The mid-50's also saw the beginning of studies on the measurement of noise from aircraft in flight which were to have a strong influence on the development of standards for the measurement and certification of aircraft noise in the following years. In 1954 Fleming supervised a large series of measurements on 4 fixed-wing aircraft and one helicopter, using fixed positions relative to take-off and landing. The work is of particular interest as these were some of the earliest tests to make use of weighting curves for sound level which had been standardised by ANSI [17].

### **2.6 The 1960's.**



In 1962, with input from NPL, ISO issued a draft procedure for evaluating the noise around an airport from measurements made only under the flight path. To test out this procedure, measurements were made, in collaboration with Rolls Royce Ltd at their test site at Hucknall, on a Meteor aircraft. These involved a grid of 39 points over an area of 10 square miles. The draft procedure was shown to give good agreement between predicted and measured levels. In 1965, further measurements were made which involved the combined resources of seven laboratories and a test area of 24 square miles [18]. The ISO Recommendation R507 was first published in 1966 [19].

As well as these examples of work on purely objective measurements, NPL retained an interest in subjective response to aircraft noise. Some early examples of controlled subjective tests occurred during the 1959 Farnborough Air Show. These involved a jury of listeners, 1600 in total, in groups of about 100, rating recorded aircraft noises and synthetic noises using the paired-comparison technique. The objective was to see whether the relative ratings of the noises would be in

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accordance with the recently developed “noise assessment scales” of Stevens [20] and BBN [21], the latter being the early form of the perceived noise level scale. Perceived noise level was found to perform slightly better than Stevens phons [22]. In 1960 a similar experiment using 570 subjects was conducted at NPL, but this time the sounds were recordings of helicopters. The conclusion from this experiment was that Zwicker phons were superior to PNL or Stevens phons. The A-weighted sound level was noted to be as good as Stevens phons in ranking either loudness or “disturbance” [23]. In 1961 the first experiments using actual aircraft flyovers took place at that year’s Farnborough Air Show [24]. Over 3 days, 60 subjects made judgements on rating scales of noisiness and intrusiveness, see Figure 7. Objective measurements were made in dB(A) and PN dB. Amongst the interesting results, it was found that subjects judged sounds heard indoors more severely than sounds of the same level heard outdoors. The difference was about 18 dB(A). See Figure 8. This difference was much the same as the noise reduction provided by the building. It was suggested that this “projection effect” was due to subjects making a subconscious allowance for the building attenuation. The result was confirmed in tests carried out at the 1964 Air show, using 148 subjects [25]. It is interesting to note that the same effect has subsequently been found by a number of researchers [26].

In 1963 Robinson compared the results of the aircraft noise tests with the earlier judgement tests on motor vehicles [27]. See Figure 9. This result represents one of the earliest inputs to the debate which has ensued ever since concerning the need for “source corrections” to harmonised noise scales.



The importance of the role of NPL was recognised in 1961 by the choice of the Laboratory to host the very first major international conference on noise ever held in the UK [28]. 300 delegates from world-wide spent 3 days attending 25 presentations and a number of demonstrations of noise analysis equipment and exhibits illustrating the principles of noise control. The demonstrations included one of the earliest known applications, certainly in the UK, of a digital computer - the NPL ACE machine - to the calculation of PN dB and loudness by the Zwicker and Stevens methods. The details of the calculation procedure for the Zwicker method, which used 30 seconds of computer time per noise, were published later [29].

The 1961 conference coincided with the start of deliberations of the Government committee on the Problem of Noise, known as the Wilson committee after its Chairman, Sir Alan Wilson, FRS. NPL staff made a substantial input to the committee and several of the previously cited scientific papers were reprinted as appendices to the Report [30]. The Report formed the basis for much of the developments which were to follow in UK legislation on noise, and was regarded for many years as a vital reference text by practitioners of all kinds.

Also in this very productive period of the mid-60's, NPL staff were involved in pioneering studies concerning sonic booms, at a time when concern was growing that the development of supersonic passenger transport would lead to exposure of the general population to this unusual form of aircraft noise. In addition to studies of subjective effects [31], Robinson developed a variant of the Stevens loudness calculation procedure [32]. NPL's work on the techniques required for the accurate physical measurement of sonic bangs had a profound influence on the development of the relevant ISO standard [33], but standardisation of methods for evaluation of subjective effects did not proceed. With the disappearance of the threat of widespread exposure, interest in the topic in the UK dwindled, and in fact ISO formally withdrew ISO 2249 in 1995 Robinson [37].



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### **3. Late reflections, from the 1970's onwards.**

I started work at NPL on November 2 1970, and initially I worked on the development of recommended maximum background noise levels for audiometry [41]. Soon after that I switched my primary research interests to environmental noise. For this part of my review I shall consider primarily work in which I have personally been involved, and describe developments chronologically within each subject area. Because of limitations of space and time, this review cannot be all embracing, but the interested reader is referred to the index of NPL publications which has been produced regularly since 1960, and which can be obtained from the NPL website [www.npl.co.uk/npl/acoustics/publications](http://www.npl.co.uk/npl/acoustics/publications).

#### **3.1 Traffic Noise prediction**

In the 70's Delany published details of a wide range of studies of traffic noise prediction, involving scale models in the NPL hemi-anechoic chamber and field trials [42, 43 ]. Then, working with David Harland at TRL, Bob Hood [then at Travers Morgan] and the late Ernie Scholes of BRE, he published the definitive basis of what is now CRTN [ 44].

Thus this work of the 70's had a direct influence on Noise Mapping techniques which are such a vital part of the scene today.

#### **3.2 Harmonisation of noise ratings.**



My arrival at NPL co-incided with the rapid growth of interest in the question of unification or harmonisation of the wide range of noise indices then in use. Robinson had just published an early paper on the topic which introduced the concept of Noise Pollution Level [45]. I reviewed the different noise indices then used in the UK for traffic, aircraft and industrial noise, and showed how, using  $L_{Aeq}$  as an intermediate measure, one could derive "equivalent values" of the separate indices [46]. Over the next few years, NPL and other organisations and individuals contributed to the deliberations of the UK Noise Advisory Council which had become concerned at the multiplicity of noise immission measures in use in the UK for planning and regulatory purposes [47]. The need for a reference work on general concepts, methods of measurement, and prediction was met by the "Leq guide" [48] which was compiled and edited by NPL staff and which had a significant impact on developments within the UK in subsequent years. A few years later, the Department of the Environment (DoE) commissioned NPL to undertake a wide-ranging review of the use of  $L_{Aeq}$  in the UK [49]. This review considered current applications, recent policy assessments, international developments, subjective reaction to different noise sources, the comparison of  $L_{Aeq}$  and other measures as predictors of subjective reaction, and recent developments in measurements and prediction practices. The work on subjective reaction to different sources was published for Internoise 83 [50]. The review also highlighted the need for further research on industrial and impulsive noise, and this resulted in the studies described in the next section. The general move in the UK towards the use of  $L_{Aeq}$ -based indices continued with the change from the use of Noise and Number Index for civil aircraft noise [51], and the formulation of noise compensation measures for military aircraft noise in terms of  $L_{Aeq}$  [52]. At the International level, a key event was the publication of ISO 1996 in 1982 [53].

The slow process towards eventual harmonisation still continues in the late 90's, with the long awaited revision of UK planning guidance [54] which uses  $L_{Aeq}$  for all noise sources, with the publication of a Green Paper from the European Commission on Future Noise Policy [55], and the organisation by the Commission, together with the Danish Environmental Protection Agency, of a related special conference in May 1998. The Government of the Netherlands, through its Health

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Council, formed, early in 1997, a new multi-national Committee of experts, on which NPL was represented, to consider and report on a "Uniform noise exposure metric" [56].

### **3.3 Subjective and objective assessment of industrial noise, including tonal and impulsive components.**

At Internoise 85, the first results were published of a series of experiments on the dependence of annoyance on basic physical parameters of impulsive noise, such as decay time and repetition rate [57]. In collaboration with the late Professor Zwicker, the results were re-analysed using the newly developed digital loudness meter [58]. The detailed report on this work appeared in 1987 [59]. The best fit between objective and subjective data was obtained using a descriptor developed in earlier NPL work on helicopter noise [60]. The report also proposed the use of descriptors of impulsiveness based on very short-term  $L_{Aeq}$  time-series. NPL then became involved in collaborative studies funded by the EC. These involved subjective tests in laboratories in the UK, Italy and the Netherlands, and objective analyses at NPL and the University of Dusseldorf. This led to the development of the "Increment" descriptor [61].

With the aim of refining current standard methods for rating industrial noise, work began in 1990 on a 3 year project funded by the UK DoE. A review of related practices in 20 countries was undertaken [62]. Also an extensive programme of subjective listening tests was conducted on the judged annoyance of specific types of industrial noise, including combinations of tonal and impulsive noise [63]. Follow-up work has concentrated on the issue of tonality [64]. The work has led to the development of a fundamentally new approach to noise assessment based on the acoustic features present in the noise environment [65].



At the level of national standards, NPL has lead the Committee of the BSI revising the 1967 edition of British Standard 4142 to make use of  $L_{Aeq}$ , and producing the 1990 edition. Further revisions resulted in a 1997 edition [66]. Contributions have also been made to the work of the ISO Working Group 45 in revising ISO 1996.

### 3.4 Aircraft noise.

When the original schemes for noise certification of aircraft were introduced in 1967, the requirement was for measurements with a microphone height of 1.2m above ground surface level. Such measurements are of course subject to the effects of cancellation and augmentation due to interference between the incident and ground-reflected waves, resulting in distortion of the true free-field spectrum. An extensive series of experimental and theoretical investigations by NPL showed the benefit of using a ground-plane microphone arrangement. The arrangement has now been included in the standards for the certification of light propeller aircraft.[67,68]. In late 1979 NPL were asked by the UK Ministry of Defence to develop a mathematical model for the prediction of noise around military airfields. The resulting model AIRNOISE was completed in 1981, and has since been used extensively in the determination of housing zones eligible for compensation [69]. In 1990 attention turned to the problems of low-altitude flying. A controlled field trial was conducted on 6 types of military jet aircraft flown straight and level at heights between 100 and 250 feet, at various speeds and engine power settings. The results of the work had a direct influence on standards of a different kind, namely the rules governing the allowable altitude and speeds in the UK low-flying system [70]. A prediction model - FLYBY- was developed, and found to give accurate estimates of the time-history of the noise of low-flying aircraft [71]. An important parameter governing the subjective response to such noise is the rate of change of noise level at the beginning of the event, or onset-rate. The problem of the lack of a standard for the measurement of the onset-rate was addressed in 1995 [72], and has subsequently been further investigated by Geoff Kerry's group at Salford [73]

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### 3.5 Health effects and dose-response relationships

In 1997, the UK Department of Environment (DETR) requested the National Physical Laboratory (NPL) together with the Institute of Sound and Vibration Research (ISVR), to review noise standards used for assessing the health impact of environmental noise. The aim of this work was to advise the DETR of the extent to which it is justifiable to use existing knowledge on potential health effects to define future noise standards and targets, and included the issue of the "availability" of dose-response relationships. The literature confirmed that there are a number of potential effects of noise on health, although the evidence in support of actual health effects other than those based on reported bother or annoyance and on some indicators of sleep disturbance was quite weak [74].

Following the EU Future Noise Policy Conference in 1998, WG2 on Dose/effect was charged with reviewing the state of the art on dose response relationships for all the potential effects, and with developing such dose-response relationships for use in the Directive. After a very extensive review of available information, it concluded that annoyance was the only effect for which reliable relationships were available. The outcome of the work of WG2 was expressed in a Position Paper to the Commission. Although this paper was basically complete some time ago, the review by the Commission of the structure of the WGs has delayed its finalisation. Essentially it proposes a series of curves relating the percentage of persons annoyed [%A] and the percentage highly annoyed [%HA] as a function of the agreed EU descriptor LDEN, for each of the 3 main transportation sources, road, rail and air. See reference 75 and Figure 10.

This is an excellent example of the way in which individual studies on noise and health are "integrated" into a form suitable for policy decisions. However, the exact "status" of the dose-response curves within the Directive is still under consideration. The commission has recently funded a new review of possible dose-response curves for sleep disturbance, The work is being undertaken by Dr Henk Miedema of TNO Leiden, with input from BEL.

## 4. Summing the reflections - thoughts on the future.

In looking back over the years one is struck by the similarities, between the early years and now, in the basic aspects of the noise problems under consideration, and by the lack of real progress in key areas, despite the passage of time, and much research. Thus in the description of the 1938 acoustimeter or objective noise meter, one can see early evidence of the magnitude of impulse "corrections", which have only recently, nearly 50 years later, been the subject of amendments to ISO 1996. But one is also struck, naturally, by the differences in the methods used in the research, arising from the developments in technology, and the ease with which one can now accomplish complex tasks. One example would be the generation of signals for subjective testing, where space-consuming and power-hungry hardware has been replaced by the PC and software, in many cases readily available via the Internet. But of course it is the very ease with which one can generate such signals and perform complex analysis tasks, which has itself led to the proliferation of possible ways of assessing noise, and so ensured that the debate over optimum metrics, indices, descriptors etc, continues

As we look to the future, it is clear that technological developments in the late 1990's will not only change the nature of the noise sources under investigation, but also continue to influence the techniques applied in research on noise and its effects. These developments have also changed the very infrastructure by which the process of standardisation happens. A number of standards bodies, including the BSI are using FTP (File Transfer Protocol) servers to give committee members online access to documents, and email for discussion groups.



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In this paper, I have attempted to describe, from a personal perspective, some of the key threads running through research on noise since its origins in the 1920's, and to show how the research has influenced standards for a wide range of acoustical applications. I have also given examples of the ways that research has been used by Government Departments and Committees in the framing of key items of legislation, guidance etc. I believe that the contributions of all organisations and individuals involved in research, and in the process of standardisation, have provided essential tools to help all those engaged in the essential task of international noise control - and so have helped to make a quieter world.

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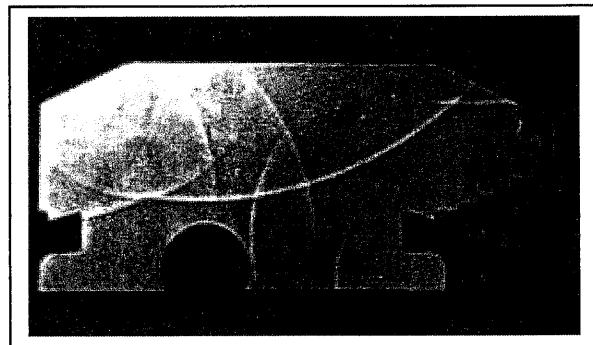
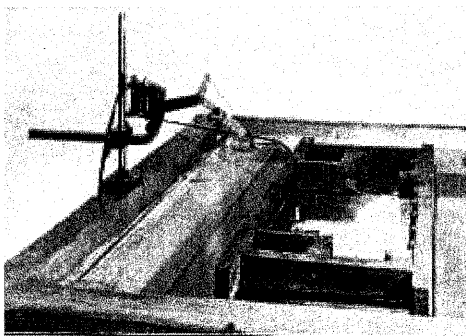


Figure 1. NPL Ripple tank and results



Figure 2. NPL "audiometer", circa 1929.

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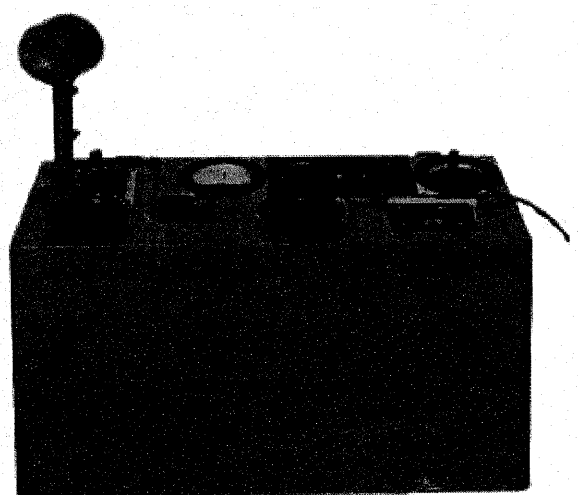


Figure 3. NPL objective noise meter, 1938.

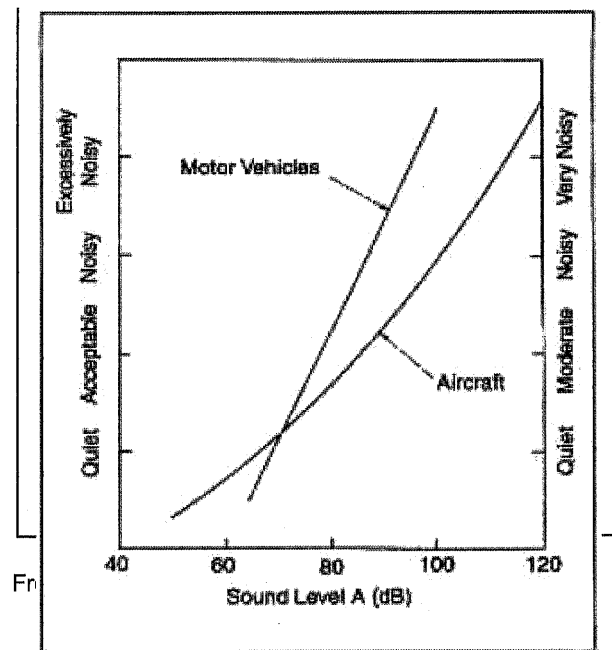
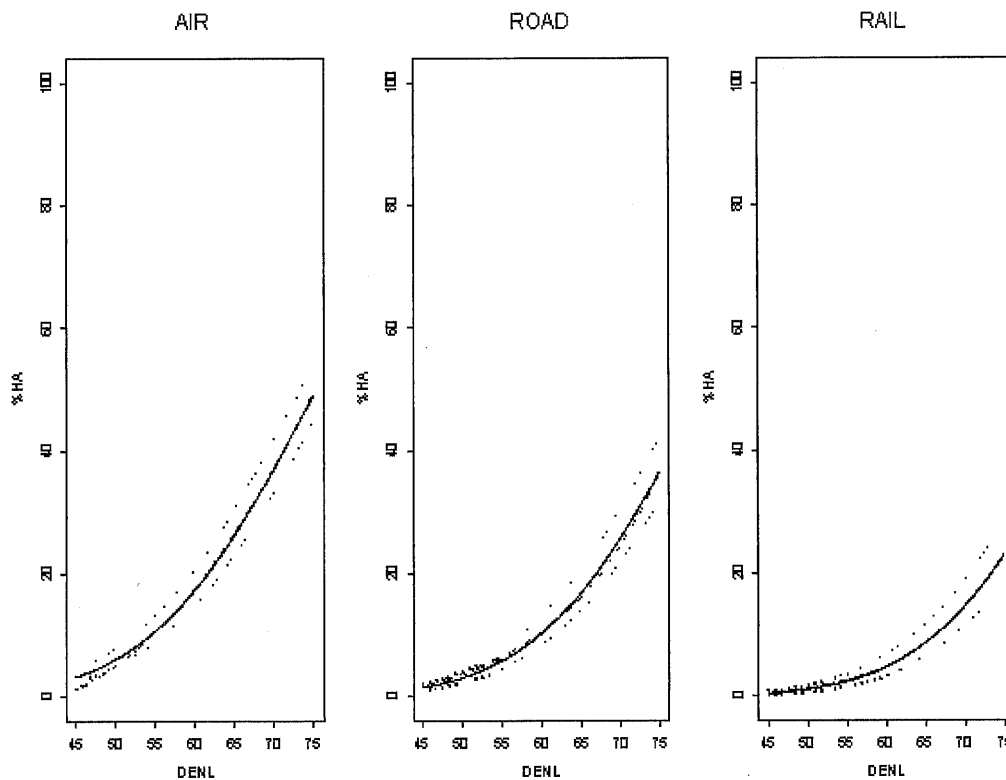


Fig 10. Henk Miedema, TNO 2001. The percentage highly annoyed persons (%HA) as a function of the noise exposure of the dwelling (LDEN). The solid lines are the estimated curves, and the dashed



lines are the polynomial approximations. The figure also shows the 95% confidence intervals (dotted lines).



